

unified energy

3900 Essex Lane
Suite # 750
Houston, TX 77027

NH-PUC 28MAR'19PM12:37

March 12th, 2019

New Hampshire Public Utilities Commission
Debra Howland
Executive Director
21 South Fruit Street
Suite 10
Concord, N.H. 03301-2429

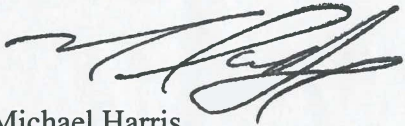
RE: Renew Application as an Aggregator – DM 12-129 (Unified Energy Services, LLC)

Dear Ms. Howland

Enclosed for your review and approval is a renewal application, cover letter and attachments for Unified Energy Services, LLC aggregators renewal registration along with the filing fee of \$125.00 in compliance with PUC 2003.05.

In consideration of this renewal, Unified Energy's application is satisfying the "required to refile an up-to-date written notice on or before April 29th, 2019" in order to continue to offer and provide electricity aggregation services.

Kindest regards,



Michael Harris
CEO
3900 Essex Lane,
Suite 750
Houston, TX 77027
Michael.harris@unifiedenergy.com



Electric Load Aggregation Application Form

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Commission of any changes to information in a previously-filed electric aggregator application form. *This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.*

Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input checked="" type="checkbox"/>		
Applicant's General Information		
Puc 2006.02(a)	Legal Name	Unified Energy Services
	Trade Name (d/b/a) (if applicable)	N/A
Puc 2006.02(b)	Business Mailing Address	3900 Essex Lane, Suite 750 Houston TX 77027
	Telephone Number	832-818-7000
	E-Mail Address	Michael.Harris@unifiedenergy.com
	Website Address (if applicable)	www.unifiedenergy.com
	Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant's principal(s), ¹ if the applicant is anything other than an individual. Use additional sheets if your response exceeds the space provided on the form.	
Puc 2006.02(c)	Name	Michael Harris
	Title	CEO
	Business Mailing Address	3900 Essex Lane Suite 750 Houston TX 77027
	Telephone Number	832-818-7000
	E-Mail Address	Michael.Harris@unifiedenergy.com
	Name	Bennett Rowe
	Title	President
	Business Mailing Address	3900 Essex Lane, Suite 750 Houston TX 77027
	Telephone Number	713-560-5150
	Email Address	Bennett.Rowe@unifiedenergy.com
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	- -
	E-Mail Address	

¹ "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



Customer Service Contact		
Puc 2006.02(d)	Name	Michael Harris
	Title	CEO
	Telephone Number	832-818-7000
	Toll-Free Telephone Number (if available)	- -
	E-Mail Address	Michael.Harris@unifiedenergy.com

Customer Complaints Contact		
Puc 2006.02(e)(1)	Name	Michael Harris
	Title	CEO
	Business Mailing Address	3900 Essex Lane, Suite 750
		Houston TX 77027
	Telephone Number	832-818-7000
	E-Mail Address	Michael.Harris@unifiedenergy.com

Regulatory Compliance Matters Contact		
Puc 2006.02(e)(2)	Name	Michael Harris
	Title	CEO
	Business Mailing Address	3900 Essex Lane, Suite 750
		Houston TX 77027
	Telephone Number	832-818-7000
	E-Mail Address	Michael.Harris@unifiedenergy.com

Commission Assessment Payments Contact		
Puc 2006.02(e)(3)	Name	Michael Harris
	Title	CEO
	Business Mailing Address	3900 Essex Lane Suite 750
		Houston TX 77027
	Telephone Number	832-818-7000
	E-Mail Address	Michael.Harris@unifiedenergy.com

Separate Attachments: Business Authority and Trade Name	
Puc 2006.02(f)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or (2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.
Puc 2006.02(g)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or (2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.

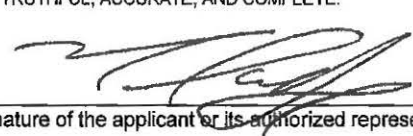


Statements Regarding Applicant and its Principals		
	Please respond to each of the following questions with either "Yes" or "No."	
Puc 2006.02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No
Puc 2006.02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	No
	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.	
	No	

Other States		
Puc 2006.02(i)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	YES - SEE ATTACHMENT 2

Statement Regarding Supplier Representation		
Puc 2006.02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	No
	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheets if needed.	
	No	

Expected Marketing Start Date		
Puc 2006.02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	06/29/2012 Date

Attestation and Signature		
Puc 2006.02(l) and (m)	BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.  Signature of the applicant or its authorized representative Name: MICHAEL HARRIS Title: CEO	3/12/19 Date

Filing Instructions		
	1) Mail an original and two paper copies of this form and all separate attachments to: Executive Director, NHPUC, 21 South Fruit St., Suite 10, Concord, NH 03301	
	2) E-mail a PDF of this form and all separate attachments to: Executive.Director@puc.nh.gov	



State of New Hampshire
Department of State
Corporation Division

603-271-3246



Enclosed is the acknowledgment copy of your Application for Registration as a Foreign Limited Liability Company. It acknowledges this office's receipt and filing of your documents.

Should you have any questions, you may contact the Corporation Division at the above number or email us at corporate@sos.state.nh.us. Please reference your Business ID # located in the filed section of the enclosed acknowledgement copy of Application for Registration as a Foreign Limited Liability Company.

Please visit our website for helpful information regarding all your business needs.

Regards,

New Hampshire Department of State
Corporation Division

Business ID#: 667067

Mailing address - 107 North Main Street, State House room 204, Concord, N.H. 03301-4989
Physical Location - 25 Capitol Street, State House Annex - 3rd Floor, Concord NH
Forms on Web - www.nh.gov/sos/corporate

State of New Hampshire

Filed
Date Filed: 03/05/2012
Business ID: 667067
William M. Gardner
Secretary of State

Filing fee: \$ 50.00
Fee for Form SRA: \$ 50.00
Total fees: \$100.00

Form FLLC-1
RSA 304-C:12

Use black print or type.

Form must be single-sided, on 8 1/2 x 11" paper;
double sided copies will not be accepted.

APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE LIMITED LIABILITY COMPANY LAWS, THE UNDERSIGNED HEREBY APPLIES FOR REGISTRATION TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT:

FIRST: The name of the limited liability company is Unified Energy Services, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is Unified Energy Services, LLC

THIRD: It is formed under the laws of Texas

FOURTH: The date of its formation is November 13th, 2008

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is Broker acting as an agent on behalf of customers in the sale and purchase of electricity and who DOES NOT take title to electricity

SIXTH: The name of its registered agent in New Hampshire is InCorp Services, Inc

and the street address, town/city (including zip code and post office box, if any) of its registered office is (agent's business address in New Hampshire) 152 South Mast Street
Goffstown, NH 03045

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).


State of New Hampshire
Form FLLC 1 - Application for Foreign Registration FLLC 5 Page(s)



T1206625065

APPLICATION FOR REGISTRATION AS A
FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1
(Cont.)

*Signature: 
Print or type name: Michael Harris
Title: CEO
Date signed: February 15th, 2012

Complete address of person signing: 3900 Essex Lane
Suite # 750
Houston, TX 77027

- * Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporate Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, DATED AND SIGNED ORIGINAL, CERTIFICATE OF EXISTENCE OR DOCUMENT OF SIMILAR IMPORT ISSUED BY THE STATE OR COUNTRY OF FORMATION AND FORM SRA to:
Corporate Division, Department of State, 107 North Main Street, Concord NH 03301-4989.



Office of the Secretary of State

Certificate of Fact

The undersigned, as Secretary of State of Texas, does hereby certify that the document, Certificate of Formation for Unified Energy Services, LLC (file number 801051774), a Domestic Limited Liability Company (LLC), was filed in this office on November 13, 2008.

It is further certified that the entity status in Texas is in existence.

In testimony whereof, I have hereunto signed my name officially and caused to be impressed hereon the Seal of State at my office in Austin, Texas on February 17, 2012.



A handwritten signature in cursive script, reading "Hope Andrade".

Hope Andrade
Secretary of State



Office of the Secretary of State

**CERTIFICATE OF FILING
OF**

Unified Energy Services, LLC
File Number: 801051774

The undersigned, as Secretary of State of Texas, hereby certifies that a Certificate of Formation for the above named Domestic Limited Liability Company (LLC) has been received in this office and has been found to conform to the applicable provisions of law.

ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing filing effective on the date shown below.

The issuance of this certificate does not authorize the use of a name in this state in violation of the rights of another under the federal Trademark Act of 1946, the Texas trademark law, the Assumed Business or Professional Name Act, or the common law.

Dated: 11/13/2008

Effective: 11/13/2008



A handwritten signature in cursive script, appearing to read "Hope Andrade".

Hope Andrade
Secretary of State

**Form SRA – Addendum to Business Organization and Registration Forms
Statement of Compliance with New Hampshire Securities Laws**

Part I – Business Identification and Contact Information

Business Name: Unified Energy Services, LLC
Business Address (include city, state, zip): 3900 Essex Lane, Suite # 750, Houston, TX 77027
Telephone Number: (713) 820-6181 E-mail: michael.harris@unifiedenergy.com
Contact Person: Michael Harris Michael Harris
Contact Person Address (if different): _____

Part II – Check ONE of the following items in Part II. If more than one item is checked, the form will be rejected.
[PLEASE NOTE: Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. **However,** you must insure that your business meets all of the requirements spelled out in A), B), and C)]:

1. _____ Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets ALL of the following three requirements:
A) This business has 10 or fewer owners; and
B) Advertising relating to the sale of ownership interests has not been circulated; and
C) Sales of ownership interests – if any – will be completed within 60 days of the formation of this business.
2. _____ This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed - _____.
3. _____ This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation - _____.
4. ☒ This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.


Part III – Check ONE of the following items in Part III:

1. ☒ This business is not being formed in New Hampshire.
2. _____ This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act

Part IV – Certification of Accuracy

(NOTE: The information in Part IV must be certified by: 1) all of the incorporators of a corporation to be formed; or 2) an executive officer of an existing corporation; or 3) all of the general partners or intended general partners of a limited partnership; or 4) one or more authorized members or managers of a limited liability company; or 5) one or more authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.)

I (We) certify that the information provided in this form is true and complete. (Original signatures only)

Name (print):	<u>Michael Harris</u>	Signature:	<u></u>
		Date signed:	<u>February 15, 2012</u>
Name (print):	_____	Signature:	_____
		Date signed:	_____
Name (print):	_____	Signature:	_____
		Date signed:	_____

Unified Energy Services, LLC
3900 Essex Lane Suite #750
Houston, TX 77027

#2

ELECTRICITY NATURAL BROKER LICENSES AND STATE REGISTRATIONS

unified energy

STATE	ELECTRIC	GAS	REGISTERED TO DO BUSINESS WITH SECRETARY OF STATE
Arkansas	N/A	Yes	Yes
California	Yes	Yes	Yes
Connecticut	Yes	Yes	Yes
Delaware	License # 4960036	Yes	Yes
District of Columbia	License # EA-11-1-6	License # GA 2015-02-8	Yes
Florida	Yes	Yes	Yes
Georgia	N/A	Yes	Yes
Illinois	License # 10-0118	Yes	Yes
Indiana	N/A	Yes	Yes
Iowa	Yes	License # G-0047	Yes
Kentucky	N/A	Yes	Yes
Louisiana	N/A	Yes	Yes
Maine	License # 2010-136	License # 2010-136	Yes
Maryland	License # IR - 1751	License # IR-3376	Yes
Massachusetts	License # EB-142	License # RA-118	Yes



ELECTRICITY NATURAL BROKER LICENSES AND STATE REGISTRATIONS

Michigan	Yes	Yes	Yes
Minnesota	N/A	Yes	Yes
Nevada	N/A	Yes	Yes
New Hampshire	License # DM 12-129	License # DM 14-215	Yes
New Jersey	License # EA-0104 (Agent) License # EC-0055 (Consultant) Dkt # EE10120933L	License # EA-0104 (Agent) License # EC-0055 (Consultant) Dkt # EE10120933L	Yes
New Mexico	N/A	Yes	Yes
New York	Yes	Yes	Yes
North Carolina	N/A	Yes	Pending - UE Working On
North Dakota	N/A	Yes	Yes
Ohio	License # 12-512-E (1) Case Number 12-1143-EL-AG	License # 13-312 G(1)	Yes
Oklahoma	N/A	Yes	Yes
Ontario, Canada	License # ER-2015-0357	License # EB-2016-0156	Yes
Oregon	N/A	Yes	Yes
Pennsylvania	License # A-2009-2139811	License # A-2014-2446698	Yes
Rhode Island	License # Division Docket # D-96-6 (V4)	License # Division Docket # D-2379 (N3)	Yes
South Carolina	N/A	Yes	Yes
Tennessee	N/A	Yes	Yes
Texas	Yes	Yes	Yes
Virginia	License # A-62 PUR-2018-00169	License # A-62 PUR-2018-00169	Yes
West Virginia	N/A	Yes	Yes

State of New Hampshire

Department of State

CERTIFICATE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that UNIFIED ENERGY SERVICES, LLC is a Texas Limited Liability Company registered to transact business in New Hampshire on March 05, 2012. I further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: **667067**

Certificate Number: **0004449200**



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 18th day of March A.D. 2019.

A handwritten signature in black ink, appearing to read "Wm Gardner".

William M. Gardner
Secretary of State